

MARY ANN SMITH
Deputy Commissioner
DOUGLAS M. GOODING
Assistant Chief Counsel
ERIK R. BRUNKAL (State Bar No. 166086)
Senior Counsel
Department of Business Oversight
1515 K St, Suite 200
Sacramento, CA 95814
Telephone: (916) 322-8782
Facsimile: (916) 445-6985

Attorneys for the Complainant

**BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA**

In the Matter of:)	CRMLA NO.: 4150027
)	
THE COMMISSIONER OF BUSINESS)	ORDER TO DISCONTINUE VIOLATIONS
OVERSIGHT,)	PURSUANT TO CALIFORNIA FINANCIAL
)	CODE SECTION 50321
Complainant,)	
)	
v.)	
)	
RMR Financial, LLC,)	
)	
Respondent.)	
)	

TO: RMR Financial, LLC
16780 Lark Avenue
Los Gatos, CA 95032

The Commissioner of Business Oversight (“Commissioner”) finds that RMR Financial, LLC has engaged in the following:

- (1) Failed to maintain sufficient trust funds in its trust accounts;
- (2) Failed to properly reconcile its trust accounts;
- (3) Failed to properly reconcile its debit balances.

1 These actions violate Financial Code section 50202 and California Code of Regulations, title
2 10, sections 1950.314.1 and 1950.314.6.

3 NOW, BASED ON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby
4 ORDERED under the provisions of Financial Code section 50321, that RMR Financial, LLC,
5 immediately discontinue the violations set forth above.

6
7 Dated: January 5, 2016
8 Sacramento, CA

JAN LYNN OWEN
Commissioner of Business Oversight

9
10 By _____
11 MARY ANN SMITH
12 Deputy Commissioner
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28